

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James J. Pagliuca et al

Filing Date :  
(CIP of U.S. Serial No.  
09/906,463, filed July  
16, 2001)

For : SURGICAL TOOL FOR USE IN  
EXPANDING A CANNULA

Attorney Docket No. : A31-4000-4

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This information disclosure statement is being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office in accordance with 37 CFR §1.56.

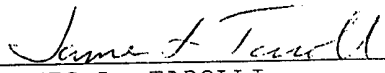
Below is a list of information of which persons substantively involved in the preparation of the application identified above, are aware of. This statement is not a representation that no other relevant information exists, and is not an admission that any of the items is material. Copies of listed foreign patents and other listed publications are enclosed. No copies of the listed U.S. Patents and U.S. Patent Applications are enclosed. A PTO Form 1449 is attached.

PATENTS

6,187,000  
3,503,398  
5,354,302  
5,417,203  
5,490,819  
5,690,606  
5,976,161  
6,371,968

1,170,324  
5,196,023  
5,370,659  
5,443,479  
5,529,571  
5,851,214  
6,126,671

Respectfully submitted,

  
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FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

**A31-4000-4**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT:

**James J. Pagliuca et al***(Use several sheets if necessary)*

FILING DATE:

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	6,187,000	2/01	Davison et al			
	1,170,324	2/16	Pomerene			
	3,503,398	3/70	Fogarty et al			
	5,196,023	3/93	Martin			
	5,354,302	10/94	Ko			
	5,370,659	12/94	Sakashita			
	5,417,203	5/95	Tovey et al			
	5,443,479	8/95	Bressi, Jr.			
	5,490,819	2/96	Nicholas et al			
	5,529,571	6/96	Daniel			
	5,690,606	11/97	Slotman			
	5,851,214	12/98	Larsen et al			
	5,976,161	11/99	Kirsch et al			
	6,126,671	10/00	Richards et al			
	6,371,968	4/02	Kogasaka et al			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


DATE CONSIDERED

**EXAMINER:** Initial citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.